

FORM A

Federal Reserve Bank of Philadelphia

FINANCIAL DISCLOSURE REPORT

Reporting Status (Check Appropriate Box) <input checked="" type="checkbox"/> Incumbent <input type="checkbox"/> New Filer	[For incumbent filers] Calendar Year Covered By Report 2021	Date of Appointment July 1, 2015	<p style="text-align: center;">Reporting Periods</p> <p>Incumbents: The reporting period is the preceding calendar year except with regard to Part II of Schedule C and Schedule D where you must also include the filing year up to the date you file.</p> <p>New Filers: Schedule A-The reporting period for income is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date that is less than 31 days before the date of filing.</p> <p>Schedule B-Not applicable.</p> <p>Schedule C, Part I (Liabilities)-The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date filing.</p> <p>Schedule C, Part II (Agreement or Arrangement)-Show any agreement or arrangement as of the date of filing.</p> <p>Schedule D, Part I (Outside Positions)-The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.</p> <p>Schedule D, Part II (Other Situations)-The reporting period is as of the date of filing.</p>
Last Name Harker		First Name and Middle Initial Patrick T.	
Position President and CEO, Federal Reserve Bank of Philadelphia			
Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Signature of Reporting Individual 	Date May 17, 2022	
Reserve Bank Ethics Officer's Review On the basis of information contained in this report and in consultation with the Board's Designated Agency Ethics Official, I conclude that the filer is in compliance with applicable ethics laws and policies.	Signature of Reserve Bank Ethics Officer 	Date May 25, 2022	
Board Designated Agency Ethics Official's Review On the basis of information contained in this report and in consultation with the Reserve Bank Ethics Officer, I conclude that the filer is in compliance with applicable ethics laws and policies.	Signature of Board Designated Agency Ethics Official 	Date June 3, 2022	
Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)			
You may use a separate page if you need additional space to complete this Form A or any of its Schedules. Check box if comments are continued on the reverse side) <input type="checkbox"/>			

Reporting Individual's Name Patrick T. Harker	<h2 style="margin: 0;">FORM A - SCHEDULE A</h2>	Calendar Year Covered 1/1/2021-5/12/2022	Page 1 of 4
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Assets and Income BLOCK A		Value of Assets at close of reporting period BLOCK B	Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that time. BLOCK C																	
Identify each asset, other than real estate used solely as your personal residence (unless rented out), held by you, your spouse or a dependent child for the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period. Also list any debt or equity interest, regardless of its value, which was held directly or indirectly by you, your spouse or dependent child in (1) a depository institution, a primary dealer of government securities, or an affiliated or subsidiary of the foregoing; or (ii) any other entity which, to your knowledge, does or seeks to do business with the Bank. Identify each asset or source of income which generated over \$200 in income during the reporting period. None <input type="checkbox"/>	O W N E R	None (or less than \$1,000)	\$1,001 - \$50,000	\$50,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000	Type						Amount						Date (Mo., Day, Yr.) Only if Honoraria
	Dividends	Rent and Royalties	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Trust	None (or Less than \$201)	\$201 - \$1,000	\$1,001 - \$25,000	\$25,001 - \$150,000	\$150,001 - \$500,000	Over \$500,000							
Examples: Central Airlines Common	S	X						X							x					
Don Jones & Smith, Hometown, USA	E																x			
Kempstone Equity Fund	E		X							X					x					
1. Huntsman Corporation Common Stock			x					x							x					
2. Decision Lens (strategic planning software)			x											x						
3. University of the Brilliant (for profit educational institution)			x											x						
4. ISHARES Core MSCI Emerging Markets			x					x		X					x					
5. ISHARES Global Clean Energy ETF			x					x		X					x					
6. Vanguard Value ETF			x					x		X					x					
7. Federated HERMES Strategic Value				x						X					x					

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8.	BNY Mellon Short-Intermediate Muni			x			x			X					x		
9.	INVESCO Rochester Municipal		x				x			X					x		
10.	Thornburg Limited Term Municipal Fund		x				x			X					x		
11.	Doubleline Low Duration Bond Fund	x					x			X					x		
12.	JP Morgan Limited Duration Bond Fund	x					x			X					x		
13.	Lord Abbett Short Duration Income	x					x			X					x		
14.	Short-Term Bond Fund of America	x					x			X					x		
15.	Vanguard Short Term Investment	x					x		x	X					x		
16.	PACE Global Real Estate Securities Investment	x					x			X					x		
17.	PACE International Emerging Market Equity Fund		x				x		x	X					x		
18.	PACE International Equity Investments			x			x		x	X					x		
19.	PACE Large Co. Growth Equity Investments			x					x	X					x		
20.	PACE Large Co. Value Equity Investments			x			x		x	X					x		
21.	PACE Small/Medium Co. Growth Equity Investments		x				x		x	X					x		
22.	PACE Small/Medium Co. Value Equity Investments		x				x		x	X					x		
23.	PACE Global Fixed Income Investments		x				x		x	X					x		
24.	PACE High Yield Investments Class P		x				x			X					x		
25.	PACE Municipal Fixed Income Investments				x		x		x	X					x		
26.	UBS Select Prime Investor Fund		x				x			X			x				

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28 p	<ul style="list-style-type: none"> State Street Instl US Gov MMkt Admin 12-31-30 (2.22%) 		x																											
28 q	<ul style="list-style-type: none"> LVIP T. Rowe Price Struct Md Cp Gr Std (2.16%) 		x																											
28 r	<ul style="list-style-type: none"> LVIP SSgA Mid-Cap Index Standard (1.97%) 		x																											
28 s	<ul style="list-style-type: none"> LVIP Loomis Sayles Global Growth Std (1.86%) 		x																											
28 t	<ul style="list-style-type: none"> LVIP BlackRock Global Real Estate Std (1.51%) 		x																											

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New Filer (Schedule Not Applicable)

Part I: Transactions		None <input type="checkbox"/>											
<p>Report any purchase, sale or exchange by you, your spouse or dependent child during the reporting period of any real estate, stocks, bonds, commodity futures and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss.</p> <p>Do not report a transaction involving real estate used solely as your personal residence (unless rented out), or a transaction solely between you, your spouse or dependent child. Check the "Required divestiture" block to indicate sales made pursuant to a divestiture required by the Bank.</p>		O W N E R	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount Transaction (x)						Required divestiture
Identification of Assets			Purchase	Sale	Exchange		\$1,001- \$50,000	\$50,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	Over \$1,000,000		
Example:	Central Airlines Common	S	x		2/1/93	x							
1.	PACE Municipal Fixed Income Investments (automatic reinvestment of dividends)	E	x		1/22/2021	x							
2.	PACE Municipal Fixed Income Investments (automatic: to pay quarterly advisory fee)	E		X	1/25/2021	x							
3.	PACE Global Fixed Income Investments	E	X		2/16/2021	X							
4.	PACE Large Co. Value Equity Investments	E	X		2/16/2021	X							
5.	PACE Municipal Fixed Income Investments (automatic reinvestment of dividends)	E	X		2/16/2021		X						
6.	PACE High Yield Investments Class P	E	X		2/16/2021	X							
7.	PACE Global Real Estate Securities Investment	E	X		2/16/2021	X							
8.	PACE International Emerging Markets Investments	E		X	2/16/2021	X							
9.	PACE International Equity Investments	E		X	2/16/2021	X							
10.	PACE Large Co. Growth Equity Investments	E		X	2/16/2021		X						
11.	PACE Small/Medium Co. Growth Investments	E		X	2/16/2021	X							
12.	PACE Municipal Fixed Income Investments (automatic reinvestment of dividends)	E	X		2/19/2021	X							
13.	PACE Municipal Fixed Income Investments (automatic reinvestment of dividends)	E	X		3/24/2021	X							
14.	Federated HERMES Strategic Value (automatic reinvestment of dividends)	E	X		3/31/2021	X							
15.	ISHARES Global Clean Energy ETF	E	X		4/15/2021	X							
16.	ISHARES Core MSCI Emerging Markets ETF	E	X		4/15/2021	X							
17.	Vanguard Value ETF	E	X		4/15/2021	X							
18.	PACE Municipal Fixed Income Investments (automatic reinvestment of dividends)	E	X		4/23/2021	X							

19.	PACE Municipal Fixed Income Investments (automatic: to pay quarterly advisory fee)	E		X		4/26/2021	X						
20.	PACE Municipal Fixed Income Investments (automatic reinvestment of dividends)	E	X			5/21/2021	X						
21.	Federated HERMES Strategic Value (automatic reinvestment of dividends)	E	X			5/28/2021	X						
22.	PACE Municipal Fixed Income Investments (automatic reinvestment of dividends)	E	X			6/23/2021	X						
23.	Federated HERMES Strategic Value (automatic reinvestment of dividends)	E	X			6/30/2021	X						
24.	PACE Municipal Fixed Income Investments (automatic reinvestment of dividends)	E	X			7/23/2021	X						
25.	PACE Municipal Fixed Income Investments (automatic: to pay quarterly advisory fee)	E		X		7/26/2021	X						
26.	Vanguard Institutional Target Retire 2025 Fund (automatic sale as part of a 10 year divestment plan)	E		X		8/2/2021		X					
27.	PACE Municipal Fixed Income Investments (automatic reinvestment of dividends)	E	X			8/23/2021	X						
28.	PACE Municipal Fixed Income Investments (automatic reinvestment of dividends)	E	X			9/23/2021	X						
29.	Federated HERMES Strategic Value (automatic reinvestment of dividends)	E	X			9/30/2021	X						
30.	PACE Municipal Fixed Income Investments (automatic reinvestment of dividends)	E	X			10/22/2021	X						
31.	PACE Municipal Fixed Income Investments (automatic: to pay quarterly advisory fee)	E		X		10/25/2021	X						
32.	PACE Municipal Fixed Income Investments (automatic reinvestment of dividends)	E	X			11/22/2021	X						
33.	Federated HERMES Strategic Value (automatic reinvestment of dividends)	E	X			11/30/2021	X						
34.	PACE International Emerging Market Equity Fund (automatic reinvestment of dividends)	E	X			12/20/2021	X						
35.	PACE International Equity Investments (automatic reinvestment of dividends)	E	X			12/20/2021	X						
36.	PACE Large Co. Growth Equity Investments (automatic reinvestment of dividends)	E	X			12/20/2021		X					
37.	PACE Small/Medium Co. Growth Equity Investments (automatic reinvestment of dividends)	E	X			12/20/2021	X						
38.	PACE Small/Medium Co. Value Equity Investments (automatic reinvestment of dividends)	E	X			12/20/2021	X						
39.	PACE Global Real Estate Securities Investments (automatic reinvestment of dividends)	E	X			12/20/2021	X						
40.	Federated HERMES Strategic Value (automatic reinvestment of dividends)	E	X			12/23/2021	X						
41.	PACE Global Fixed Income Investments (automatic reinvestment of dividends)	E	X			12/23/2021	X						
42.	PACE Municipal Fixed Income Investments (automatic reinvestment of dividends)	E	X			12/23/2021	X						
43.	PACE Municipal Fixed Income Investments (automatic reinvestment of dividends)	E	X			1/21/2022	X						
44.	PACE Municipal Fixed Income Investments (automatic: to pay quarterly advisory fee)	E		X		1/25/2022	X						
45.	Vanguard Institutional Target Retire 2025 Fund (automatic change of funds: see below)	E			X	2/11/2022			X				
46.	Vanguard Target Retire 2025 Fund	E			X	2/11/2022			X				
47.	PACE Municipal Fixed Income Investments (automatic reinvestment of dividends)	E	X			2/18/2022	X						

48.	Federated HERMES Strategic Value (automatic reinvestment of dividends)	E	X		2/28/2022	X					
49.	PACE Municipal Fixed Income Investments (automatic reinvestment of dividends)	E	X		3/23/2022	X					
50.	Federated HERMES Strategic Value (automatic reinvestment of dividends)	E	X		3/31/2022	X					
51.	PACE Municipal Fixed Income Investments (automatic reinvestment of dividends)	E	X		4/22/2022	X					
52.	PACE Municipal Fixed Income Investments (automatic: to pay quarterly advisory fee)	E		X	4/26/2022	X					
53.	JPMorgan Limited Duration Bond Fund	S		X	5/10/2022	X					

Part II: Gifts

To the extent not previously reported in writing, report the source, a brief description, and the value of any gifts, as defined in the instructions, received by you, your spouse or dependent child from one source and totaling \$250 or more.

Exclude gifts from relatives, gifts valued at \$100 or less when aggregating gifts for the total from one source, and gifts received by your spouse or dependent child that were given totally independent of the relationship to you. See the instructions for further exclusions.

None

	Source (Name and Address)	Brief Description	Value
1.			
2.			
3.			
4.			
5.			

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Part I: Liabilities			Category of Amount of Value (x)						
Report any liability owed during the reporting period to any of the following by you, your spouse or dependent child: a bank, credit union, savings and loan association, savings bank, trust company, bank			holding company, thrift holding company, an affiliate or subsidiary of any of the foregoing, and any entity which, to your knowledge, does or seeks to do business with the Bank. See the instructions for certain exclusions.						
None <input checked="" type="checkbox"/>			D E B T O R	ID-RSSD	0- \$50,000	\$50,001- \$150,000	\$150,001- \$500,000	\$500,001- \$1,000,000	Over- \$1,000,000
	Creditor (Name and Address)	Type of Liability							
Example:	First District Bank, Washington, DC	Mortgage on rental property, Delaware	J		x				
1.									
2.									
3.									
4.									
5.									
6.									
7.									
8.									

To your knowledge, were any of the foregoing extensions of credit made on terms more favorable than those offered to a person not employed by the Bank? Yes No If so, explain.

Part II: Agreements or Arrangements

Report any agreement or arrangement for future employment, leave of absence from or continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. This part applies only to the person filing the report. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 11/93 and retained pension benefits (independently managed, fully funded, defined contribution plan)	Doe Jones & Smith, Hometown, USA	7/85
1.			
2.			
3.			

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4.			
5.			
6.			

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Part I: Positions Held Outside the Federal Reserve Bank None

Report any position held by you, your spouse or a dependent child during the reporting period, whether compensated or not. A position includes but is not limited to employee, officer, owner, director, trustee, partner, advisor or consultant of any corporation, firm, partnership or other business enterprise, or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal or political entities, and those solely of an honorary nature.

Reportable positions also include those held by you, your spouse, a child, parent, or sibling with a bank, credit union, savings and loan association, savings bank, trust company, bank holding company, thrift holding company, primary government securities dealer, an affiliate or subsidiary of any of the foregoing, and any entity which, to your knowledge, does or seeks to do business with the Bank.

	Organization (Name and Address)	Holder	Type of Organization	Position	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Mo. Bar Assoc., Jefferson City, MO	E	Professional	Director	9/93	Present
	ABC Bank, Hometown, USA	Brother	Bank	Loan Officer	7/85	Present
1.	Chamber of Commerce of Greater Philadelphia	Self	Nonprofit	Director	1/2018	Present
2.	University City Science Center	Self	Nonprofit	Director	1/2019	Present
3.						
4.						
5.						
6.						
7.						
8.						
9.						
10.						
11.						

Part II: Other Situations

Describe any other relationship or circumstances that you believe might constitute an actual or apparent conflict of interest or violation of law or Bank policy. Provide all relevant information.

None

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